

05-08-01

DOCKET NO.: 176/60183 (6-11407-674)
EXPRESS MAIL NO.: EG741023265US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 45 pages) of:

Inventor(s): **Patricia M. Rodier, Jennifer L. Ingram, Denis A. Figlewicz, Susan L. Hyman, Christopher J. Stodgell**

For : **GENETIC POLYMORPHISMS WHICH ARE ASSOCIATED WITH AUTISM SPECTRUM DISORDERS**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☒ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. 09/095,117

Prior application information: Examiner : J. Souaya
Art Unit : 1655

Enclosed are:

- ☒ 2 sheets of informal drawings.
- ☐ Signed Combined Declaration and Power of Attorney (____ pages).
- ☒ Copy of signed Combined Declaration and Power of Attorney (2 pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

jc867 U.S. PTO
05/07/01

jc997 U.S. PTO
09/850258
05/07/01

- ☒ Preliminary Amendment (4 pages).
- ☐ Information Disclosure Statement, form PTO-1449 (____ pages) and ____ references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (____ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

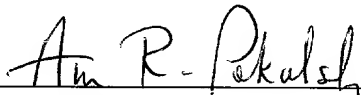
The Filing fee has been calculated as shown below and based on the enclosed Preliminary Amendment:

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	8 - 20 =	0	x 9 =	\$0	OR	x 18 =	\$
INDEP CLAIMS	3 - 3 =	0	x 40 =	\$0	OR	x 80 =	\$
[] MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$0	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$355	OR	TOTAL	\$

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$355.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603

Date: May 7, 2001


Ann R. Pokalsky
Registration No. 34,697

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1304
Facsimile: (716) 263-1600
ARP/mm

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 176/60183 (6-11407-674)

APPLICANT(S) : Patricia M. Rodier, Jennifer L. Ingram, Denise A. Figlewicz, Susan L. Hyman and Christopher J. Stodgell

TITLE : GENETIC POLYMORPHISMS WHICH ARE ASSOCIATED WITH AUTISM SPECTRUM DISORDERS

Certificate is attached to the **Utility Patent Application Transmittal Form (in duplicate) (2 pages)** of the above-named application.

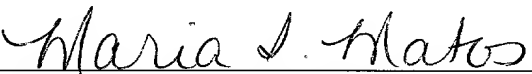
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DATE OF DEPOSIT: May 7, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, **Box: Patent Application.**

Maria I. Matos

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Rodier et al.)	Examiner:
Serial No.	:	To Be Assigned)	
Cnfrm. No.	:	To Be Assigned)	Art Unit:
Filed	:	Herewith)	
For	:	GENETIC POLYMORPHISMS WHICH ARE ASSOCIATED WITH AUTISM SPECTRUM DISORDERS)	

Assistant Commissioner for Patents
Washington, D.C. 20231
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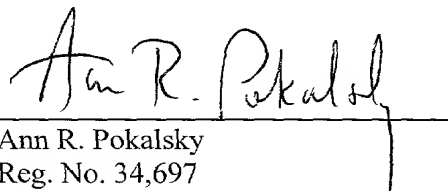
**REQUEST TO USE COMPUTER READABLE FORM OF SEQUENCE
LISTING FROM ANOTHER APPLICATION UNDER 37 C.F.R. §1.821(e)**

Sir:

The computer readable form (CRF) of the Sequence Listing in the above-captioned patent application is identical to the one and only CRF submitted in parent application, Serial No. 09/095,117, filed June 10, 1998. In accordance with 37 C.F.R. §1.821(e), Applicants respectfully request that the CRF filed in Application Number 09/095,117, as part of the original application, be used as the computer readable form in the above-captioned application.

It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form used in the above-captioned application.

Respectfully submitted,


Ann R. Pokalsky
Reg. No. 34,697

Date: May 7, 2001

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1304
Facsimile: (716) 263-1600
ARP/mm